



Practitioner's Docket N 55987 (71896)
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: M. Kawazu
Application No.: 09/887,967 Group No.: 1756
Filed: June 22, 2001 Examiner: J. McPherson
For: METHOD OF PREPARING ARTICLE COVERED WITH LIGHT
ABSORPTION PATTERN FILM AND ARTICLE COVERED WITH LIGHT
ABSORPTION PATTERN FILM

Box AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is
 a small entity. A statement:
 is attached.
 was already filed.
 other than a small entity.

EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) – If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

deposited with the United States Postal Service
with sufficient postage as first class mail in an
envelope addressed to Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450

Date: 11/8/04

FACSIMILE

transmitted by facsimile to the Patent and
Trademark Office (703) _____

Eileen M. Woodbury

Signature

Eileen M. Woodbury
(type or print name of person certifying)

of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

NOTE: See 37 C.F.R. Section 1.645 for extensions of time in interference proceedings, and 37 C.F.R. Section 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. Section 1.136 apply.

(complete (a) or (b), as applicable)

(a) Applicant petitions for an extension of time under 37 C.F.R. Section 1.136 (fees: 37 C.F.R. Section 1.17(a)(1)-(4)) for the total number of months checked below:

Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
[] one month	\$ 110.00	\$ 55.00
[] two months	\$ 420.00	\$ 210.00
[X] three months	\$ 950.00	\$ 475.00
[] four months	\$ 1,480.00	\$ 740.00

Fee: \$

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

[] An extension for _____ months has already been secured. The fee paid therefor of \$ _____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 950.00

OR

(b) [] Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. Section 1.16(b)-(d)) has been calculated as shown below:

(Col.1)		(Col. 2)		(Col. 3) SMALL ENTITY			OTHER THAN A SMALL ENTITY		
Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee	OR	Rate	Addit. Fee		
Total	8	Minus	20	=	x \$9 =	\$	x \$18 =	\$	
Indep.	1	Minus	3	=	x \$42 =	\$	x \$84 =	\$	
[] First Presentation of Multiple Dependent Claim				+ \$140 =	\$		+ \$280 =	\$	
				Total Addit. Fee	\$____	OR	Total Addit. Fee	\$0	

* If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING: *"After final rejection or action (Section 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. Section 1.116(a) (emphasis added).*

(complete (c) or (d), as applicable)

(c) No additional fee for claims is required.

OR

(d) Total additional fee for claims required \$

FEE PAYMENT

5. Attached is a check in the sum of **\$950.00**
 Charge Account No. _____ the sum of \$ _____.
A duplicate of this transmittal is attached.



FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. [X] If any additional extension and/or fee is required, charge Account No. 04-1105.

AND/OR

[X] If any additional fee for claims is required, charge Account No. 04-1105.

Respectfully submitted

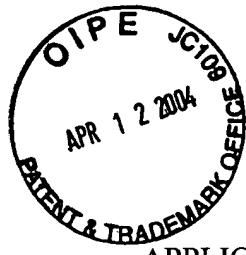
By:
Lisa Swiszcz Hazzard
Reg. No. 44,368

A handwritten signature in black ink, appearing to read "Lisa Swiszcz Hazzard". The signature is fluid and cursive, with some loops and variations in line thickness.

Date: April 7, 2004

Edwards & Angell, LLP
P.O. Box 55874
Boston, MA 02205
Tele: (617)-517-5523
Customer No.: 21,874

440323



APR / 1700
15

Attorney Docket No. 55987 (71896)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: M. Kawazu

U.S.S.N.: 09/887,967

Art Unit: 1756

FILED: June 22, 2001

Examiner: J. McPherson

FOR: METHOD OF PREPARING ARTICLE COVERED WITH LIGHT ABSORPTION PATTERN FILM AND ARTICLE COVERED WITH LIGHT ABSORPTION PATTERN FILM

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 8, 2004.

By: Eileen M. Woodbury
Eileen Woodbury

**NOTICE OF APPEAL FROM THE PRIMARY EXAMINER
TO THE BOARD OF PATENT APPEALS AND INTERFERENCES
(37 C.F.R. 1.191)**

NOTE: An appeal may be based on one rejection in a prior application and one rejection in a continuing application. Notice of Oct. 10, 1997, 62 F.R. 53131, at 53167.

NOTE: There is no requirement for a notice of appeal to: (1) be signed; or (2) identify the appealed claims. Notice of Oct. 10, 1997, 62 F.R. 53131, at 53167.

Applicant hereby appeals to the Board from the decision of the Primary Examiner, mailed October 8, 2003, finally rejecting claims 1-7.

04/14/2004 RMEBRAHT 00000067 09887967

01 FC:2401

165.00 OP

(Notice of Appeal from the Primary Examiner to Board—page 1 of 3)

The item(s) checked below are appropriate:

1. STATUS OF APPLICANT

This application is on behalf of

[] other than a small entity.
[x] a small entity.

2. FEE FOR FILING NOTICE OF APPEAL

Pursuant to 37 C.F.R. 1.17(b), the fee for filing the Appeal Brief is:

[x] small entity \$165.00
[] other than a small entity \$330.00

Notice of Appeal fee due **\$165.00**.

3. EXTENSION OF TERM

NOTE: *The time periods set forth in 37 C.F.R. 1.191 are subject to the provision of § 1.136 for patent applications. 37 C.F.R. 1.191(d). (But see 37 C.F.R. 1.645 for extension of time in interference proceedings and 37 C.F.R. 1.550(c) for extension of time in reexamination proceedings).*

(complete (a) or (b), as applicable)

The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

(a) [X] Applicant petitions for an extension of time under 37 C.F.R. 1.136 (fees: 37 C.F.R. 1.17(a)(1)-(4)) for the total number of months checked below:

<u>Extension (months)</u>	<u>Fee for other than small entity</u>	<u>Fee for small entity</u>
[] one month	\$110.00	\$55.00
[] two months	\$420.00	\$210.00
[x] three months	\$950.00	\$475.00
[] four months	\$1,480.00	\$740.00

Fee \$

If an additional extension of time is required, please consider this a petition therefor.
(check and complete the next item, if applicable)

(a) [x] An extension for one month has already been secured, and the fee paid therefor of \$950 is deducted from the total fee due. Copy of Extension of Time enclosed.

(b) [] Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

4. TOTAL FEE DUE

The total fee due is:

Notice of Appeal fee \$ 165.00
Extension fee (if any) \$

TOTAL FEE DUE \$ \$ 165.00

5. FEE PAYMENT

[X] Attached is a check in the sum of \$ 165.00
[] Charge Account No. _____ the sum of \$ _____.

6. FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in resuming the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, 1065 O.G 31-33.

[X] If any additional extension and/or fee is required, this is a request therefor and to charge Account No. 04-1105.

AND/OR

[X] If any additional fee for claims is required, charge Account No. 04-1105.

Respectfully submitted,

Lisa Swiszcz Hazzard (Reg. No. 44,368)
EDWARDS & ANGELL, LLP
P.O. Box 55874
Boston, MA 02205
Tel. No. (617) 517-5512

440535